

COPY

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|   |   |  |   |  |   |                                |   |                     |                                   |                 |          |                               |  |   |
|---|---|--|---|--|---|--------------------------------|---|---------------------|-----------------------------------|-----------------|----------|-------------------------------|--|---|
| FORM PTO-1449<br>(Modified)   |   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE   |   | ATTY. DOCKET NO.<br>K-1775D            |   | SERIAL NO.<br>Not yet assigned |   |                     |                                   |                 |          |                               |  |   |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use several sheets if necessary)<br>(37 CFR 1.98(b))   |   |  |   | APPLICANT<br>Robert H. Montgomery, Jr. |   |                                |   |                     |                                   |                 |          |                               |  |   |
|   |   |  |   | FILING DATE<br>September 8, 2003       |   | GROUP                          |   |                     |                                   |                 |          |                               |  |   |
| <b>U.S. PATENT DOCUMENTS</b>  |   |  |   |  |   |                                |   |                     |                                   |                 |          |                               |  |   |
| EXAMINER<br>INITIAL   |   | PATENT NUMBER  |   |  |   |                                |   | ISSUE<br>DATE       | PATENTEE                          | CLASS           | SUBCLASS | FILING DATE<br>IF APPROPRIATE |  |   |
| SS  |   | 4  | 0 | 8                                      | 4 | 8                              | 5 | 6                   | 04/1978                           | Emmerich et al. | 299      | 86                            |  |   |
| SS  |   | 4  | 5 | 4                                      | 2 | 9                              | 4 | 3                   | 09/1985                           | Montgomery, Jr. | 299      | 93                            |  |   |
| SS  |   | 5  | 0 | 6                                      | 7 | 7                              | 7 | 5                   | 11/1991                           | D'Angelo        | 299      | 86                            |  |   |
| SS  |   | 5  | 0 | 8                                      | 8 | 7                              | 9 | 7                   | 02/1992                           | O'Neill         | 299      | 91                            |  |   |
| SS  |   | 5  | 2 | 7                                      | 3 | 3                              | 4 | 3                   | 12/1993                           | Ojanen          | 299      | 10                            |  |   |
| SS  |   | 5  | 3 | 0                                      | 2 | 0                              | 0 | 5                   | 04/1994                           | O'Neill         | 299      | 91                            |  |   |
| SS  |   | 5  | 3 | 0                                      | 3 | 9                              | 8 | 4                   | 04/1994                           | Ojanen          | 299      | 86                            |  |   |
| SS  |   | 5  | 6 | 2                                      | 8 | 5                              | 4 | 9                   | 05/1997                           | Ritchey et al.  | 299      | 104                           |  |   |
| SS  |   | 5  | 7 | 2                                      | 5 | 2                              | 8 | 3                   | 03/1998                           | O'Neill         | 299      | 104                           |  |   |
| SS  |   | 6  | 3 | 7                                      | 1 | 5                              | 6 | 7                   | 04/2000                           | Sollami         | 299      | 104                           |  |   |
| SS  |   | 4  | 6 | 2                                      | 1 | 8                              | 7 | 1                   | 11/1986                           | Salani          | 299      | 107                           |  |   |
| SS  |   | 5  | 1 | 2                                      | 5 | 7                              | 2 | 0                   | 06/1992                           | Salani          | 299      | 107                           |  |   |
| SS  |   | R  | E | 2                                      | 8 | 3                              | 1 | 0                   | 01/1975                           | Krekeler        | 299      | 102                           |  |   |
| SS  |   | 3  | 8 | 6                                      | 5 | 4                              | 3 | 7                   | 02/1975                           | Crosby          | 299      | 107                           |  |   |
| SS  |   | 4  | 3 | 4                                      | 3 | 5                              | 1 | 6                   | 08/1982                           | Aden            | 299      | 106                           |  |   |
| SS  |   | 5  | 6 | 4                                      | 7 | 6                              | 4 | 1                   | 07/1997                           | Sulosky et al.  | 299      | 106X                          |  |   |
| SS  | * | 3  | 3 | 9                                      | 7 | 0                              | 1 | 2                   | 08/1968                           | Krekeler        | 299      | 86                            |  |   |
| <b>FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION</b>   |   |  |   |  |   |                                |   |                     |                                   |                 |          |                               |  |   |
|   |   | DOCUMENT NUMBER  |   |  |   |                                |   | PUBLICATION<br>DATE | COUNTRY OR<br>PATENT OFFICE       | CLASS           | SUBCLASS | TRANSLATION<br>YES NO         |  |   |
| SS  |   | 4  | 2 | 0                                      | 2 | 9                              | 6 | 1                   | 8/5/93                            | Germany         |          |                               |  | X |
|   |   |  |   |  |   |                                |   |                     |                                   |                 |          |                               |  |   |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>  |   |  |   |  |   |                                |   |                     |                                   |                 |          |                               |  |   |
|   |   | Kennametal Inc., The Mining Tool Authority™ Cutting and Drilling Systems ... For Underground and Surface Mining Catalog (2000) |   |  |   |                                |   |                     |                                   |                 |          |                               |  |   |
|   |   | "Quick Change System" publication, The Sollami Company, publication date September 19, 2000, 12 pages.                         |   |  |   |                                |   |                     |                                   |                 |          |                               |  |   |
|   |   |  |   |  |   |                                |   |                     |                                   |                 |          |                               |  |   |
| EXAMINER<br><i>Sunil Singh</i>  |   |  |   |  |   |                                |   |                     | DATE CONSIDERED<br><i>5/14/04</i> |                 |          |                               |  |   |
| EXAMINER: Initial citation c nsider d. Draw line through citati n if not in conformanc and not consider d. Includ copy of this form with next communicati n to applicant. |   |  |   |  |   |                                |   |                     |                                   |                 |          |                               |  |   |

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